



IPW

3628

## PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,858)

In re Application of: )  
Kaminsky, et al. )  
Serial No.: 09/699,533 ) Art Unit: 3628  
Filed: October 30, 2000 ) Examiner: Nga Nguyen  
For: Automated Trading Exchange System )  
And Method Utilizing a Randomized )  
Opening Procedures )

## TRANSMITTAL LETTER

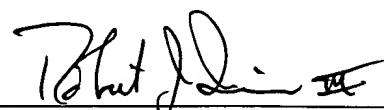
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

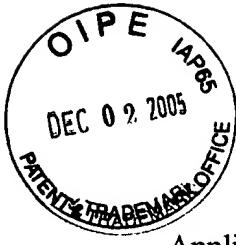
Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Response to Office Action Mailed September 23, 2005; and
  - b. Return Receipt Postcard.
2. With respect to additional fees:
  - a. No Fees are due at this time.
  - b. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 29, 2005.

By:

  
Robert J. Irvine III  
Reg. No. 41,865



Attorney Docket No. 99,858

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ross G. KAMINSKY, et al.  
Serial No. : 09/699,533  
Filing Date : October 30, 2000  
Title : AUTOMATED TRADING EXCHANGE SYSTEM AND  
METHOD UTILIZING RANDOMIZED OPENING  
PROCEDURES  
Examiner : Nga B. Gguyen  
Group/Art Unit : 3628

MAIL STOP: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO THE OFFICE ACTION**  
**MAILED SEPTEMBER 23, 2005**

Sir:

This is in response to the Office Action mailed on September 23, 2005 setting a shortened statutory response date of three months. Accordingly, this Response is timely filed. Applicants submit the following Remarks and respectfully request the Examiner to reconsider the rejections made in the Action and to allow the claims to issue.

Please amend the identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 6 of this paper.